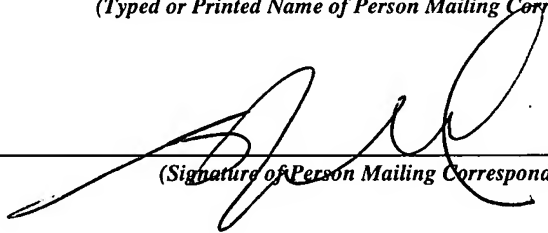


07-23-07

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Emerson Jones et al.		Docket Number 006878.114501	
Serial No. 10/676,297	Filing Date September 30, 2007	Examiner Ali, M	Group Art Unit 3609
Invention: METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY			
I hereby certify that the following correspondence:			
<div style="border: 1px solid black; padding: 10px;"> <p>Information Disclosure Statement (1 page); PTO SB/08a & SB/08b (3 pgs); 6 non-patent literature documents Return Receipt Postcard</p> </div>			
<p align="center"><i>(Identify type of correspondence)</i></p>			
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July 20, 2007 <hr/> <p align="center"><i>(Date)</i></p>		Shoba Jaglal-Grullon <hr/> <p align="center"><i>(Typed or Printed Name of Person Mailing Correspondence)</i></p>	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones, et al.	: Group Art Unit: 3609
Serial No.: 10/676,297	: Examiner: Ali, M
Filed: September 30, 2003	: Attorney Docket No.: 006878.114501
For: Method and System for Analyzing A	:
Capital Structure For A Company	:

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

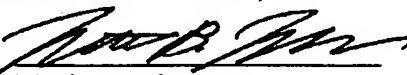
Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits.

Of further note, no representation is intended that a complete search has been made by the applicants or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,
GREENBERG TRAURIG, LLP

Dated: July 20, 2007

By: 
Matthew B. Tropper
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PTO/SB/08a (09-08)

Approved for use through 03/31/2007, OMB 0851-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

of

3

Complete if Known

Application Number	10/676,297
Filing Date	Sept 30, 2003
First Named Inventor	Jones
Art Unit	3609
Examiner Name	Ali M.
Attorney Docket Number	006878-114501

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 2002/0046144	04-18-2002	Graff	
		US- 2002/0065755	05-30-2002	Shigfman et al.	
		US- 2002/0075007	06-13-2002	Ayache	
		US- 2002/0099640	07-25-2002	Lange	
		US- 2002/0103738	08-01-2002	Griebl et al.	
		US- 2002/0147670	10-10-2002	Lange	
		US- 2002/0178101	11-28-2002	Swift	
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		US- 2003/0028468	02-06-2003	Wong et al.	
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		US- 2003/0078870	04-24-2003	Dafar et al.	
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		US- 2003/0135446	07-17-2003	Birle, Jr. et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	11/676,291
		Filing Date	Sept. 30, 2003
		First Named Inventor	Jones
		Art Unit	3609
		Examiner Name	Ali M
		Attorney Docket Number	006878 114501
Sheet	2	of	3

U. S. PATENT DOCUMENTS					
Examiner Initials ^a	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2003/0236754	12-25-2003	Lance	
		US-2004/0006520	01-08-2004	Birto, Jr. et al.	
		US-5,704,045	12-30-1997	Hing et al.	
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		US-5,802,501	09-01-1998	Graff	
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		US-6,148,293	11-14-2000	Hing	
		US-6,167,384	12-26-2000	Graff	
		US-6,192,347	02-20-2001	Graff	
		US-6,278,981	08-21-2001	Dembu	
		US-6,326,212	11-20-2001	Lance	
		US-6,546,375	04-08-2003	Park et al.	
		US-6,061,662	05-09-2000	Markovic M.	
		US-			
		US-			
		US-			
		US-			

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Examiner Initials ^a	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10 676 297
				Filing Date	Sept. 30 2003
				First Named Inventor	Jones
				Art Unit	3609
				Examiner Name	Ali M.
Sheet	3	of	3	Attorney Docket Number	006878-114501

[illegible]

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